



## Water splitting on TiO<sub>2</sub> nanotube arrays

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### ABSTRACT

TiO<sub>2</sub> nanotube arrays can be synthesized by several experimental procedures. Here we construct the first theoretical model of the array. Based on the density functional theory calculations, the formation energy of the TiO<sub>2</sub> nanotube array is nearly the same as that of rutile TiO<sub>2</sub> (1 1 0) surface. Moreover the electronic properties of TiO<sub>2</sub> nanotube arrays have been analyzed. The thermodynamic properties of H<sub>2</sub>O splitting on the TiO<sub>2</sub> nanotube and nanotube arrays have been discussed using the density functional theory calculations and Gibbs free energy diagrams. The overpotential of H<sub>2</sub>O splitting is 0.51 and 1.0 eV on the outside and inside of nanotube and 1.13 eV on the inside TiO<sub>2</sub> nanotube of an array.

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### 1. Introduction

Photoelectrochemical water splitting utilizing solar energy for the electrolysis of water [1–4] is a vital step in the hydrogen economy. The photoelectrochemical cells consist of the semiconductor photoanode and a metal cathode, at which oxygen and hydrogen is evolved, respectively. The photoanode materials are the most important factor in determining the efficiency of water splitting since the anode reaction exhibits slower kinetics than the cathode reaction and requires more energy.

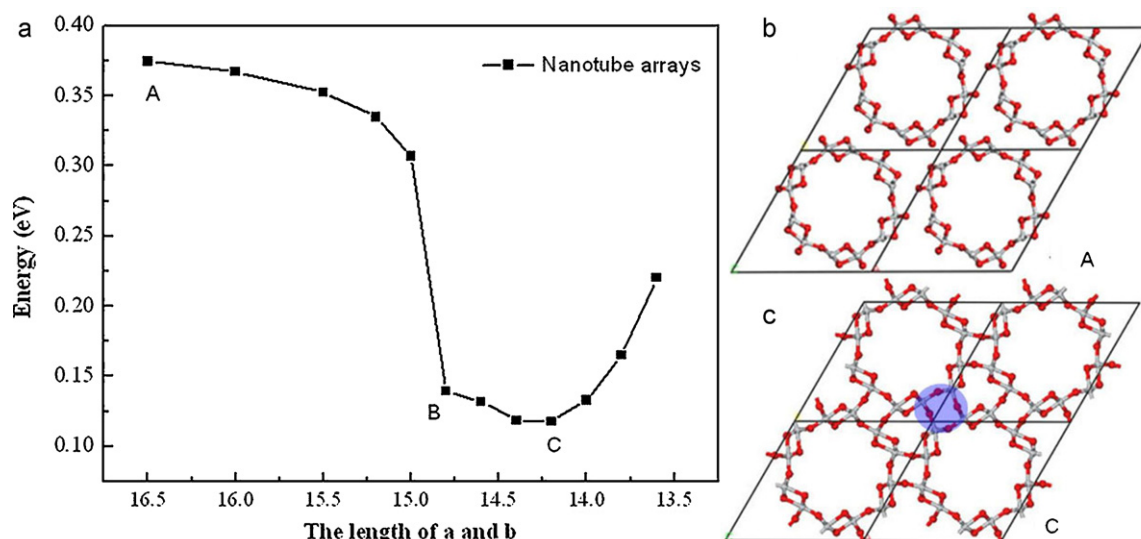
Ideal photoanode materials should have excellent stability, suitable band gap (at least larger than 1.7 eV) that matches the H<sub>2</sub>O oxidation and reduction potentials. At present, the most used photoanode materials are the metal oxides, for example RuO<sub>2</sub> [5,6], IrO<sub>2</sub> [7], TiO<sub>2</sub> [8–10], ZnO [11,12] etc. Among them, TiO<sub>2</sub> is the most well studied due to its' excellent optic, electrochemical, and mechanical properties [8–10,13–15]. However, TiO<sub>2</sub> is only responsive to UV light due to its large band gap (about 3.2 eV), which cannot utilize the visible light (about 45% of solar energy). In order to narrow the band gap and modify the energy levels, various methods have been attempted, including noble metal loading [16], ion doping [17,18], sensitization and metal ion-implantation. So far, these modifications have not met the requirements for effective utilization of visible light of solar energy.

Recently, TiO<sub>2</sub> nanotube arrays have attracted much attention due to their large internal surface area and the highly order geometrical structures [19–26]. Especially, they possess outstanding charge transport and carrier lifetime properties since they have electron percolation pathways for vectorial charge transfer between interfaces. These excellent properties make them suitable for a series of applications in sensors [27,28], dye sensitized solar cells [29–34], hydrogen generation from water photoelectrolysis [35–37], photoreduction of CO<sub>2</sub> under outdoor sunlight [38]. By using the highly ordered titania nanotube arrays, Mor et al. reported the highest hydrogen generation rate for a titania-based photoelectrochemical cell [19]. The p-type Cu–Ti–O nanotube arrays have a complete visible spectrum photoresponse [20], which can enhance oxygen and hydrogen evolution. The carbon-doped TiO<sub>2</sub> nanotube arrays show efficient water splitting under visible-light illumination [21]. By introducing highly dispersed metal (Ir and Co) particles in the texture of TiO<sub>2</sub> nanotube arrays, hydrogen and oxygen can be produced by the water splitting under the visible light irradiation [25]. Although TiO<sub>2</sub> nanotube arrays show better water splitting properties than TiO<sub>2</sub> nanoparticles, the enhanced mechanism at the electronic level is still unknown.

Theoretically, compared with many experimental studies on the preparation and application of TiO<sub>2</sub> nanotubes arrays, few studies have been conducted on the structures and properties of the TiO<sub>2</sub> nanotube. The formation mechanism and various electronic properties of titanate nanotubes [39–41], TiO<sub>2</sub> nanotubes formed from the anatase (1 0 1) surface [42,43] and built by a reconstructed (0 0 1) bilayer of rutile [44] or hexagonal ABC PtO<sub>2</sub> structure [45] have been investigated. Recently, we constructed TiO<sub>2</sub> nanotubes from single trilayer rutile TiO<sub>2</sub> (1 1 0) thin sheets [46], which is similar to the formation of carbon nanotubes from a graphene sheet.

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**Fig. 1.** (a) The formation energies of the TiO<sub>2</sub> nanotube array with different unit cell parameters ( $b = a$ ). (A)  $a = 16.5$  Å, (B)  $a = 14.8$  Å, (C)  $a = 14.2$  Å. The optimized structures of TiO<sub>2</sub> nanotube arrays are shown in (b) A, (c) C.

However, to the best of our knowledge, no theoretical studies on TiO<sub>2</sub> nanotube arrays have been reported.

In this study, models of TiO<sub>2</sub> nanotube arrays have been proposed and the geometric and electronic properties of TiO<sub>2</sub> nanotube arrays have been investigated in detail. Based on density functional theory (DFT) calculations and Gibbs free energy diagrams, the thermodynamic properties of H<sub>2</sub>O splitting on the TiO<sub>2</sub> nanotube and nanotube array have been discussed. The overpotential of H<sub>2</sub>O splitting is 0.51 and 1.0 eV on the outside and inside of the nanotube and 1.13 eV on inside TiO<sub>2</sub> nanotube of an array.

## 2. Methods

### 2.1. DFT calculations

The computations have been carried out using the plane-wave approach, together with the Perdew–Burke–Ernzerhof (PBE) [47] exchange–correlation functional, and ultrasoft pseudopotentials [48] with PWSCF code implemented in Quantum-Espresso [49]. The plane-wave basis set cutoffs for the smooth part of the electron wave functions and augment electron density is 25 and 200 Ry, respectively. The TiO<sub>2</sub> nanotube arrays are formed by the most stable TiO<sub>2</sub> (6, 6) nanotubes built from the reconstructed single tri-layer rutile (110) sheet [46]. The periodic boundary condition was used with a supercell of  $14.2 \text{ Å} \times 14.2 \text{ Å} \times 2.97 \text{ Å}$ . The suitable Monkhorst–Pack  $k$ -point sampling is  $2 \times 2 \times 8$  after testing different  $k$ -point grids. All of the atoms were fully relaxed until each component of the residual force on each atom was smaller than 0.03 eV/Å.

For H<sub>2</sub>O splitting, the geometry optimization and vibration frequencies calculations have been carried out by using the DMol<sup>3</sup> code [50,51] with double-numerical polarized (DNP) basis sets. Our calculations were performed with the PW91 and the  $k$ -point sampling was performed in a  $2 \times 2 \times 4$  Monkhorst–Pack mesh. The unit cell of TiO<sub>2</sub> nanotube arrays used in the H<sub>2</sub>O splitting is  $14.2 \text{ Å} \times 14.2 \text{ Å} \times 8.91 \text{ Å}$ , which consists of 36 Ti and 72 O atoms.

### 2.2. Gibbs free energy diagrams

The water splitting reaction separates into the oxidation and reduction processes.

Oxidation:



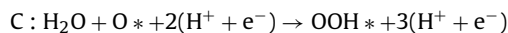
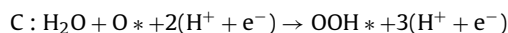
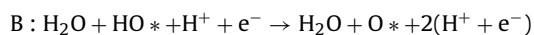
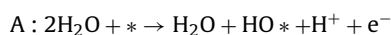
Reduction:



Overall:



We assume that one-electron transfer elementary steps of the oxidation reaction are:



We used the same scheme to obtain the free-energy differences between the intermediates proposed by Norskov et al. [52–55] which has been widely used to investigate the thermochemistry of H<sub>2</sub>O splitting, O<sub>2</sub> reduction reactions on metal and metal oxide surfaces. In these methods, the Gibbs free energy for each elementary step is calculated from:

$$\Delta G = \Delta E + \Delta \text{ZPE} - T\Delta S + \Delta G_{\text{pH}} + \Delta G_{\text{U}} \quad (4)$$

where  $\Delta E$  is the reaction energy, obtained from DFT calculations; the differences in zero-point energies,  $\Delta \text{ZPE}$ , are calculated using DFT vibrational frequencies analysis;  $T\Delta S$ , change in entropy using standard tables for gas-phase molecules;  $\Delta G_{\text{pH}}$  and  $\Delta G_{\text{U}}$ , the free energy contributions due to the variations of H<sup>+</sup> concentration and electrode potential. In this study, we did not consider the influence of pH on the Gibbs free energies by fixing the pH (pH = 0). The chemical potential for H<sup>+</sup> + e<sup>−</sup> is related to 1/2H<sub>2</sub> in the gas phase since the reference potential is the standard hydrogen electrode (NHE).

### 3. Results and discussion

#### 3.1. Geometric structures of nanotube arrays

We first build the models of TiO<sub>2</sub> nanotube arrays since no theoretical models have been reported. It is well known that carbon nanotube arrays are formed by Van der Waals' forces between carbon nanotubes. Both carbon nanotube and carbon nanotube arrays are experimentally available. It should be mentioned that the structures of TiO<sub>2</sub> nanotube arrays may be different from those of carbon nanotube arrays since these TiO<sub>2</sub> nanotubes can be connected by the strong chemical bonds.

The stable single-walled TiO<sub>2</sub> (6, 6) nanotubes identified from our previous study [46] are placed in the hexagonal unit cell ( $a=b=16.5$  Å,  $c=2.97$  Å,  $\alpha=90^\circ$ ,  $\beta=90^\circ$ ,  $\gamma=60^\circ$ ). By changing the lattice parameter ( $a$ ,  $b$ ), the distances between TiO<sub>2</sub> nanotube are reduced until the nanotube arrays formed. Of course, during the optimization process, the positions of TiO<sub>2</sub> need to adjust in order to get the most stable TiO<sub>2</sub> nanotube arrays. The formation energies per TiO<sub>2</sub> subunit at different lengths of  $a$  and  $b$  ( $a=b$ ) are shown in Fig. 1 (a), in which the formation energies monotonically decrease from 0.37 to 0.30 eV when  $a$  is reduced from 16.5 to 15.0 Å (structure A shown in Fig. 1 (b)). When  $a$  ( $b$ ) is 14.2 Å, the most stable TiO<sub>2</sub> nanotube arrays (structure C) are formed (as shown in Fig. 1 (c)). It can be seen that these arrangements of TiO<sub>2</sub> nanotubes are also consistent with the hexagonal close-packed structures, which is very similar to the experimental observed structures [56–59]. The distances between Ti and oxygen (in the blue part of the neighboring TiO<sub>2</sub> nanotubes) are 1.84 and 2.08 Å in the structure C. It should be mentioned that TiO<sub>2</sub> (6, 6) used in this study are composed of 12 TiO<sub>2</sub> subunits. All of the unsaturated oxygen and titanium atoms in the TiO<sub>2</sub> nanotubes [46] are bonded with the neighboring TiO<sub>2</sub> nanotubes of the arrays. Therefore, the coordination numbers of oxygen and titanium are three and six, which are the same as those in the bulk TiO<sub>2</sub>. Of course, for larger diameters of TiO<sub>2</sub> nanotube arrays,

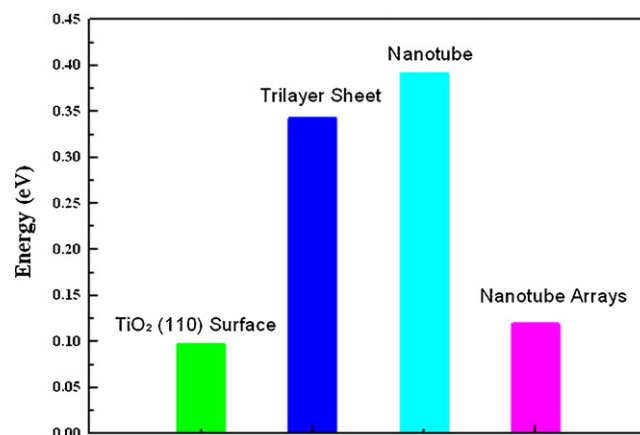


Fig. 2. The formation energies of rutile TiO<sub>2</sub> (1 1 0) surface, single tri-layer sheet, TiO<sub>2</sub> nanotube and TiO<sub>2</sub> nanotube arrays.

some of the oxygen and titanium atoms will still have unsaturated coordination.

Furthermore, we compared the formation energies of the arrays with other TiO<sub>2</sub> structures in Fig. 2. It can be seen that the formation energy of TiO<sub>2</sub> nanotube arrays is 0.12 eV, which is very close to the most stable rutile TiO<sub>2</sub> (1 1 0) surface and much more stable than the tri-layer sheet and single-walled nanotubes [46].

#### 3.2. Electronic structures of TiO<sub>2</sub> nanotube arrays

The calculated band structures, projected density of states (PDOS) of Ti and oxygen, the highest occupied and lowest unoccupied molecular orbitals of the investigated TiO<sub>2</sub> nanotube arrays are shown in Fig. 3. The band gaps of TiO<sub>2</sub> nanotube arrays and single-walled TiO<sub>2</sub> nanotube are 3.02 and 3.35 eV [46], which corresponds to direct and indirect semiconductor, respectively, according to the

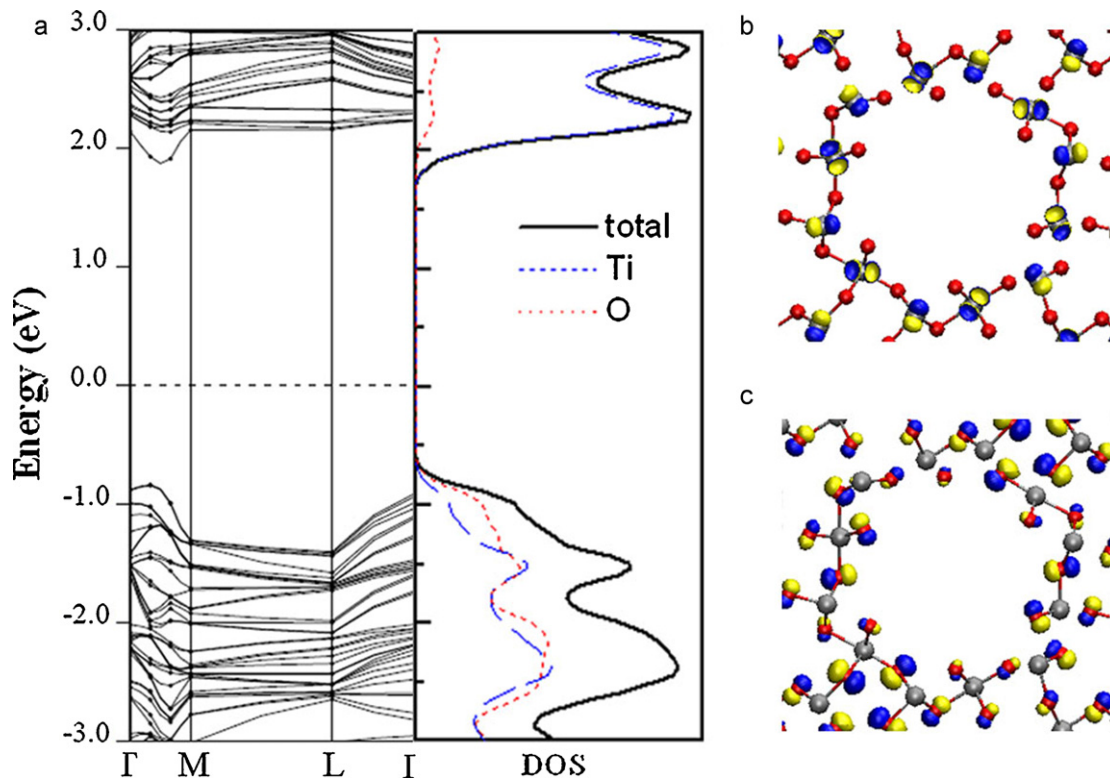
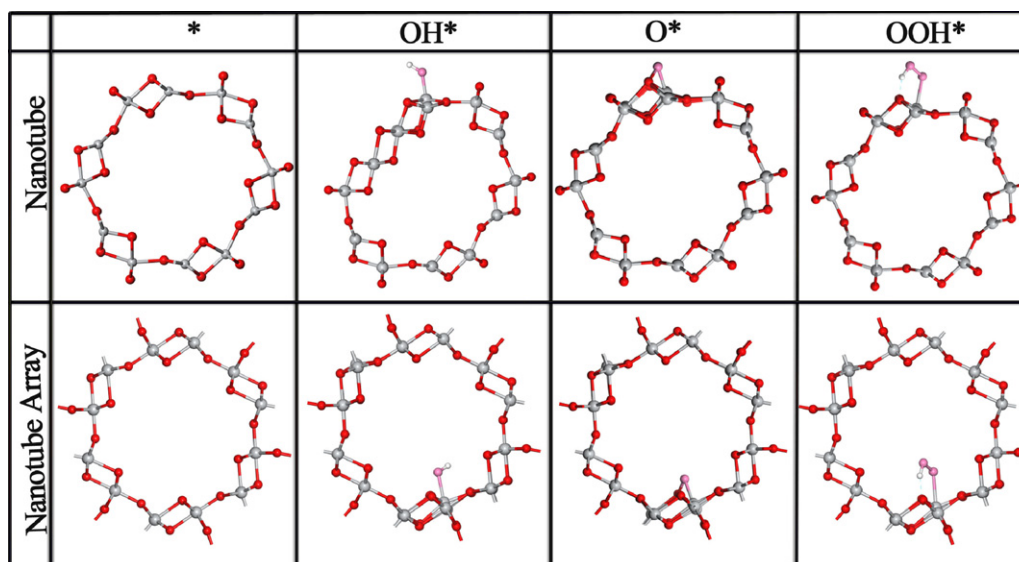


Fig. 3. (a) The calculated band structures, density of states (DOS) and projected DOS of the TiO<sub>2</sub> nanotube array. (b) The lowest unoccupied molecular orbitals. (c) The highest occupied molecular orbitals of TiO<sub>2</sub> nanotube array.



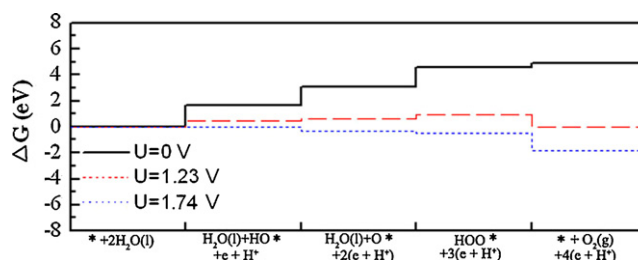
**Fig. 4.** The optimized intermediate structures for the oxygen evolution from  $\text{H}_2\text{O}$  splitting on (a) the outside wall of  $\text{TiO}_2$  nanotube and (b) inside  $\text{TiO}_2$  nanotube of an array.

band structure analysis. From our study, we found that the calculated band gap of  $\text{TiO}_2$  nanotube or nanotube arrays is bigger (about 1.0 eV) than that of bulk  $\text{TiO}_2$ . Several recent theoretical studies [60–62] on other metal oxide nanotubes reported similar observations. Moreover, the projected local density of states (PDOS) is also plotted in Fig. 3. From the PDOS spectra we can see that the low valence and high conduction bands are mainly from oxygen and Ti. Indeed, the HOMO and LUMO orbitals of the nanotube array are contributed from oxygen and Ti which is very similar to the single nanotube.

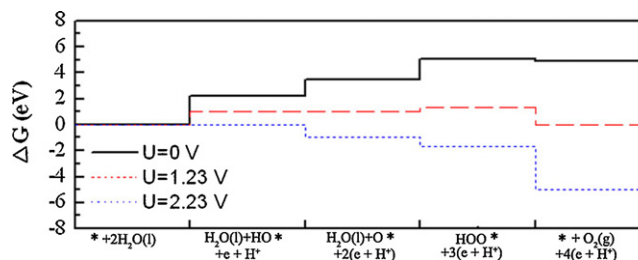
### 3.3. $\text{H}_2\text{O}$ splitting on $\text{TiO}_2$ nanotube and nanotube arrays

In this section, the water oxidation reaction on the  $\text{TiO}_2$  nanotube [46] and nanotube arrays are discussed and the corresponding free energy diagrams under different applied potential  $U$  and fixed pH (pH = 0 in this study) are presented. The reaction intermediates of  $\text{H}_2\text{O}$  splitting on  $\text{TiO}_2$  nanotube and nanotube array are shown in Fig. 4. Due to the similar configurations of these reaction intermediates on the inside of  $\text{TiO}_2$  nanotube and nanotube array, only the geometries of the  $\text{TiO}_2$  nanotube arrays are shown. The hydroxyl ( $\text{OH}^*$ ) via the dissociation of first  $\text{H}_2\text{O}$  adsorbs on the top site of Ti (step A). Next, the adsorbed oxygen ( $\text{O}^*$ ) via the dehydrogenation of  $\text{OH}$  is on the bridge site of Ti–O (step B). Furthermore, the  $\text{OOH}^*$  is formed by the oxygen ( $\text{O}^*$ ) and the second  $\text{H}_2\text{O}$  (step C). Finally,  $\text{O}_2$  is released from the nanotube and nanotube array (step D). From Fig. 4, it can be seen the adsorbed species can induce structure deformation of  $\text{TiO}_2$  nanotube. However, the structure of  $\text{TiO}_2$  nanotube arrays is not significantly affected.

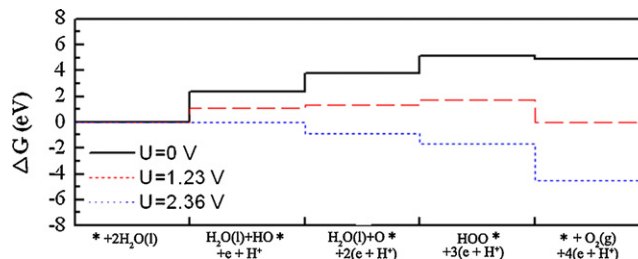
According to the formula (4), the Gibbs free energies of the elementary steps of  $\text{H}_2\text{O}$  splitting on the outside and the inside of a  $\text{TiO}_2$  nanotube and inside the nanotube of an array are shown in Figs. 5–7. For each diagram, three different potentials have been given and plotted: the open cell potential 0 V, the equilibrium potential 1.23 V, and the lowest potential. Under the lowest potential, the free energy changes of all of the elementary steps are negative or zero, which are dependent on the specific nanomaterials. The difference between the lowest potential and the equilibrium (1.23 V) is termed as the theoretical overpotential, which is an important indicator to evaluate the electrochemical catalytic activity of materials. From Figs. 5–7, we found that the lowest potential of  $\text{H}_2\text{O}$  splitting on the outside and inside of  $\text{TiO}_2$  nanotube is 1.74 and 2.23 eV, respectively, and that of inside nan-



**Fig. 5.** Calculated free energies of intermediates following the oxygen evolution from  $\text{H}_2\text{O}$  splitting on the outside wall of a  $\text{TiO}_2$  nanotube at three potentials (relative to the standard hydrogen electrode); zero potential ( $U = 0$  V), the equilibrium potential ( $U = 1.23$  V) and the lowest potential at which all steps are downhill in free energy ( $U = 1.68$  V).



**Fig. 6.** Calculated free energies of intermediates following the oxygen evolution from  $\text{H}_2\text{O}$  splitting on the inside wall of a  $\text{TiO}_2$  nanotube at three potentials (relative to the standard hydrogen electrode); zero potential ( $U = 0$  V), the equilibrium potential ( $U = 1.23$  V) and the lowest potential at which all steps are downhill in free energy ( $U = 2.23$  V).



**Fig. 7.** Calculated free energies of intermediates following the oxygen evolution from  $\text{H}_2\text{O}$  splitting on inside  $\text{TiO}_2$  nanotube of an array at three potentials (relative to the standard hydrogen electrode); zero potential ( $U = 0$  V), the equilibrium potential ( $U = 1.23$  V) and the lowest potential at which all steps are downhill in free energy ( $U = 2.36$  V).



otube of the array is 2.36 eV, which all correspond to the first step on the three kinds of nanostructures. Based on the thermodynamic point of view from the Gibbs free analysis, the rate-limiting step is to obtain the OH from the dissociation of the first H<sub>2</sub>O on both TiO<sub>2</sub> nanotube and nanotube arrays. The overpotential of H<sub>2</sub>O splitting on the outside and inside of TiO<sub>2</sub> nanotube is 0.51 and 1.0 eV, respectively and that of inside TiO<sub>2</sub> nanotube of the array is 1.13 eV. We found that the overpotential of H<sub>2</sub>O splitting on the outside of TiO<sub>2</sub> nanotube is nearly the same as that of RuO<sub>2</sub> (0.37 eV) [52], which is one of the best known inorganic catalysts for H<sub>2</sub>O splitting or O<sub>2</sub> evolution. While for H<sub>2</sub>O splitting on the inside of either TiO<sub>2</sub> nanotube and TiO<sub>2</sub> nanotube arrays, the overpotential is much larger than that on the outside of TiO<sub>2</sub> nanotube, which is even larger than that on TiO<sub>2</sub> (1 1 0) surface [53–55]. The large overpotential of H<sub>2</sub>O splitting on the inside of TiO<sub>2</sub> nanotube or nanotube arrays are probably caused by the small diameter nanotube used in this study. In the future, we will investigate the effect of tube diameter as well as defects and dopants on H<sub>2</sub>O splitting in the TiO<sub>2</sub> nanotube arrays.

#### 4. Conclusions

We constructed the first models of TiO<sub>2</sub> nanotube arrays. The formation energy of TiO<sub>2</sub> nanotube arrays is nearly the same as rutile TiO<sub>2</sub> (1 1 0) surface based on the density functional theory calculations. The thermodynamic properties of H<sub>2</sub>O splitting on the TiO<sub>2</sub> nanotube and nanotube array have been discussed based on the Gibbs free energy diagrams. The overpotential of H<sub>2</sub>O splitting is 0.51 and 1.0 eV on the outside and inside of nanotube and 1.13 eV on the inside of nanotube arrays. Based on the thermodynamic point of view from the Gibbs free analysis, the rate-limiting step is to obtain the OH from the dissociation of the first H<sub>2</sub>O on both TiO<sub>2</sub> nanotube and nanotube arrays.

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